



1
2 **ENVIRONMENTAL ASPECTS OF GOOD**
3 **MANUFACTURING PRACTICES:**
4 **POINTS TO CONSIDER FOR MANUFACTURERS AND**
5 **INSPECTORS IN THE PREVENTION OF ANTIMICROBIAL**
6 **RESISTANCE**
7
8 (May 2019)

9 *DRAFT FOR COMMENTS*

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41 **SCHEDULE FOR DRAFT WORKING DOCUMENT QAS/19-802:**
42
43 **ENVIRONMENTAL ASPECTS OF GOOD MANUFACTURING PRACTICES:**
44 **POINTS TO CONSIDER FOR MANUFACTURERS AND INSPECTORS IN THE**
45 **PREVENTION OF ANTIMICROBIAL RESISTANCE**

Description of Activity	Date
During the Fifty-third World Health Organization (WHO) Expert Committee on Specifications for Pharmaceutical Preparations (ECSPP), the Expert Committee recommended to develop a document as “points to consider” on environmental aspects relating to manufacturing for the prevention of antimicrobial resistance (AMR), to possibly include the role of inspectors.	22-26 October 2018
Preparation of the first draft working document by Ms Stephanie Croft from the WHO Prequalification (PQ)-Team Inspection.	February 2018 - March 2019
Circulation of the draft document to WHO colleagues working in the area of AMR and to WHO (PQ)-Team Inspection and inclusion of their feed-back in the draft document.	April 2019
Mailing of working document to the Expert Advisory Panel on the International Pharmacopoeia and Pharmaceutical Preparations (EAP) inviting comments and posting of the working document on the WHO website for public consultation.	May – June 2019
Discussion of working document during the Joint Meeting on Regulatory Guidance for Multisource Products.	Copenhagen, 17-18 May 2019
Consolidation of comments received and review of feedbacks. Preparation of working document for discussion.	June 2019

Discussion of working document and feedbacks received during the informal Consultation on Good Practices for Health Products Manufacture and Inspection.	July 2019
Revision of the working document based on comments received during the informal Consultation on Good Practices for Health Products Manufacture and Inspection.	End of July 2019
Mailing of revised working document to the EAP inviting comments and posting the working document on the WHO website for public consultation.	August – September 2019
Consolidation of comments received and review of feedbacks. Preparation of working document for discussion.	End of September 2019
Presentation to the Fifty-fourth meeting of the ECSPP.	14 -18 October 2019
Any other follow-up action as required.	

47 **ENVIRONMENTAL ASPECTS OF GOOD MANUFACTURING PRACTICES:**
48 **POINTS TO CONSIDER FOR MANUFACTURERS AND INSPECTORS IN THE**
49 **PREVENTION OF ANTIMICROBIAL RESISTANCE**

50
51 **1. Introduction and scope**

52 **1.1 Background**

53 **1.2 Purpose**

54 **1.3 Target audience**

55 **2. Glossary**

56 **3. Impact of API and FPP production processes on antimicrobial-resistance**

57 **4. Review of environmental aspects of GMP**

58 **5. Proposals for stakeholders**

59 **6. Proposal for WHO's options and tools**

60 **References**

61 **Acronyms**

62 **Appendix 1: relevant sections of WHO guidelines and proposals for modification**

63
64 **1. INTRODUCTION AND SCOPE**

65
66 **1.1. Background**

67
68 Growing antimicrobial resistance (AMR) linked to the discharge of drugs and particular
69 chemicals into the environment is one of the most worrying health threats today, according to
70 research by UN Environment (1). AMR accounts for an estimated 700 000 deaths per year
71 and, by 2030, will represent up to US\$ 3.4 trillion in Gross Domestic Product (GDP) loss (2).
72 AMR has been identified as a priority at the World Health Assembly since 1998 (3), with rising
73 momentum throughout the years. Since 1998, there have been a series of resolutions on AMR.
74 This paved the way to the Sixty-eighth World Health Assembly in May 2015, where the World
75 Health Assembly endorsed a global action plan to tackle AMR, including antibiotic resistance,
76 the most urgent drug resistance trend (4). More recently, the Thirteenth General Programme
77 of Work (2019-2023) highlighted the need to address this emerging threat under the section for
78 «Tackling antimicrobial resistance» (2). It is only recently that the need to address waste and

79 wastewater management from pharmaceutical production has been explicitly addressed.
80 Namely, on 30 November 2018, the World Health Organization's (WHO) Executive Board
81 meeting decided that technical input will be provided to Good Manufacturing Practice (GMP)
82 guidance on waste and wastewater management from the production of Critically Important
83 Antimicrobials (5, 6). This "points to consider" document was written further to this recent
84 decision.

85

86 This document is to be considered as a time-limited document that addresses the current needs
87 for guidance on how GMPs should be implemented to waste and wastewater management for
88 production of antimicrobials, with a focus on Critically Important Antimicrobials. Wherever
89 possible, this text is informed by relevant evidence. However, the evidence base may be weak
90 in some areas, therefore inputs from stakeholders and experts could be beneficial.

91

92 **1.2. Purpose**

93

94 The purpose of this document is to:

95

96 • Raise awareness of medicines' manufacturers, GMP inspectors and inspectorates in all
97 Member States on sections of relevant GMP guidance that are applicable to the
98 management of waste/wastewater from the production of antimicrobials.

99

100 • Provide clarification on the interpretation of those clauses and specific measures that
101 should be taken to be considered compliant with the relevant sections of GMP guidance.

102

103 • Raise awareness of medicine's manufacturers, GMP inspectors and inspectorates, on
104 the importance of considering all aspects of GMP implementation and to also focus on
105 the parts of GMP that may not have a direct product quality impact.

106

107 • Raise awareness of Member States, to establish and enforce requirements for their local
108 pharmaceutical production facilities to safely dispose of the waste and wastewater that
109 is generated while manufacturing antimicrobials, with a focus on Critically Important
110 Antimicrobials.

- Provide proposals on what should be done by the different stakeholders in order to help control and reduce contamination of the environment with antimicrobials and related chemicals coming from pharmaceutical production processes.
- Discuss options and tools to reduce and mitigate the uncontrolled disposal of waste and wastewater containing antimicrobials when manufacturing medical products, with a focus on how GMP can more comprehensively address environmental aspects in the prevention of AMR, including the potential role of inspectors to tackle this issue. This includes:
 - a presentation of a pilot process in the WHO Prequalification (PQT) Inspectorate to comprise verification of adequate preventive measures in place to prevent environmental contamination with Critically Important Antimicrobials manufactured at medicines manufacturing facilities, involving both active pharmaceutical ingredient (API) and finished pharmaceutical product (FPP) production facilities;
 - a discussion of a proposal to update GMP guidelines, with a focus on the guidelines *WHO good manufacturing practices for pharmaceutical products containing hazardous substances (Annex 3, TRS957, 2010)* (7);
 - a discussion of the creation of a network/forum coordinated by WHO to share information, experience and mechanisms for reporting eventual potential breaches of national/international laws on waste discharge; and
 - a proposal to initiate an awareness campaign among Member States, which includes GMP inspectors.
- Gather stakeholders' inputs on potential way forwards to tackle AMR, including successful experiences and best practices when manufacturing pharmaceutical products.

143 This document is not intended to cover AMR issues that are related to the clinical or veterinary
144 setting or to other types of environmental contamination (*I*) (such as the excretion of
145 antimicrobials during their use).

146

147 **1.3. Target audience**

148

149 This document is primarily targeted to:

150

151 • All manufacturers of antimicrobials who are involved in the manufacturing of API and
152 FPPs.

153

154 • GMP inspectors and inspectorates from national medicines regulatory authorities.

155

156 • Regulatory bodies that are responsible for enforcing environmental protection
157 standards and waste/waste water management in all Member States; consistent with a
158 multidisciplinary approach, the Ministries of Health, Ministries of Environment or
159 Pollution control boards and Ministries of Agriculture, as appropriate.

160

161 • Waste and wastewater management services who handle antimicrobial waste and/or
162 process effluents from the pharmaceutical industry.

163

164 • Procurement agencies who are purchasing antimicrobials and, more particularly,
165 Critically Important Antimicrobials, who include a verification of compliance with
166 GMP requirements as part of their quality assurance process and/or who aim to
167 purchase antimicrobial medicines from companies who have sustainable and
168 environmentally respectful production processes.

169

170 • NGOs and other non-state actors who are involved in monitoring and mitigating AMR.

171

172 • Experts in environmental development and the spread of AMR, with a focus on the
173 release of antimicrobials from manufacturing.

174

- 175 • Experts in waste and wastewater treatment technologies applicable in antimicrobial
176 manufacturing.

177

178 **2. GLOSSARY**

179

180 The definitions given below apply to the terms as used in these guidelines. They may have
181 different meanings in other contexts.

182

183 ***Antimicrobial resistance (AMR)***

184 Antibiotic resistance develops when bacteria adapt and grow in the presence of antibiotics. The
185 development of resistance is linked to how often antibiotics are used. Because many antibiotics
186 belong to the same class of medicines, resistance to one specific antibiotic agent can lead to
187 resistance to a whole related class. Resistance that develops in one organism or location can
188 also spread rapidly and unpredictably through, for instance, the exchange of genetic material
189 between different bacteria and can affect antibiotic treatment of a wide range of infections and
190 diseases. Drug-resistant bacteria can circulate in populations of human beings and animals,
191 through food, water and the environment, and transmission is influenced by trade, travel and
192 both human and animal migration. Resistant bacteria can be found in food, animals and food
193 products destined for consumption by humans. Some of these features also apply to medicines
194 that are used to treat viral, parasitic and fungal diseases, hence the broader term antimicrobial
195 resistance.

196

197 ***Active pharmaceutical ingredient (API)***

198 Any substance or mixture of substances intended to be used in the manufacture of a
199 pharmaceutical dosage form and that, when so used, becomes an active ingredient of that
200 pharmaceutical dosage form. Such substances are intended to furnish pharmacological activity
201 or other direct effect in the diagnosis, cure, mitigation, treatment or prevention of disease or to
202 affect the structure and function of the body.

203

204 ***Finished pharmaceutical product (FPP)***

205 A finished dosage form of a pharmaceutical product, which has undergone all stages of
206 manufacture, including packaging in its final container and labelling.

207 **3. IMPACT OF API AND FPP PRODUCTION PROCESSES ON**
208 **ANTIMICROBIAL-RESISTANCE**

210 We may be entering a post-antibiotic era where simple and previously treatable bacterial
211 infections can kill and where routine medical procedures that rely on antibiotic preventative
212 treatment, such as joint replacements and chemotherapy, will not be possible. The 2014
213 O'Neill report commissioned by the Government of the United Kingdom of Great Britain and
214 Northern Ireland estimated that antimicrobial resistant infections may become the leading
215 cause of death globally by 2050 (*1*).

216

217 The environment is key to antibiotic resistance. Bacteria in soil, rivers and seawater can
218 develop resistance through contact with resistant bacteria (transfer of resistance genes),
219 antibiotics, disinfectant agents released by human activity (*1*) as well as heavy metals (*8, 9*)
220 that may propagate AMR in the environment. People and livestock could then be exposed to
221 more resistant bacteria through food, water and air (*1*).

222

223 The levels of pollution with antibiotics have been measured in waters in the proximity of
224 pharmaceutical production facilities. Antimicrobial concentrations in some effluents are too
225 low to be lethal to exposed bacteria but may still be sufficient to induce antimicrobial resistance
226 (*1, 10*), but high concentrations have been found downstream of antimicrobial manufacturing
227 sites in several countries. Scientific literature reports a correlation between the type and
228 number of highly resistant bacteria and the level of antimicrobial pollution (*10*). This led to
229 manufacturing sites being identified as hot spots for antimicrobial resistance development, but
230 this knowledge dates from only a few years ago (*11*).

231

232 Poor control of waste and wastewater, such as that encountered in some of the countries who
233 are major global producers of APIs and FPPs, can often lead to the entry of antibiotics into
234 waters that are contaminated with pathogenic bacteria from untreated sewage. This increases
235 the risk of the development of antimicrobial resistance. Furthermore, a vast array of
236 contaminants in municipal and industrial wastewater increases pressure on bacteria to become
237 resistant (*1, 11*).

238 Concentrations in river water depend on wastewater treatment facilities as well as antibiotic
239 use in the populations they serve. Treatment plants are generally designed to remove
240 conventional pollutants, such as nutrients, organic matter, suspended solids and pathogens, but
241 not pharmaceuticals such as antimicrobial agents (1). Often, there is little or no treatment of
242 manufacturing effluents or pharmaceutical waste leaving municipal wastewater treatment
243 plants to handle the waste. However, the activated sludge may up-concentrate some
244 antimicrobial agents, as well as antimicrobial resistant bacteria, increasing the risk for AMR in
245 environments where the sludge is applied. Recent evidence indicates the presence of a
246 selection pressure for AMR within environments receiving wastewater from antimicrobial
247 manufacturing, as opposed to environments receiving wastewater from municipal sewage
248 treatment plants (12) that do not receive waste from antimicrobial manufacturing.

249

250 It is therefore important to significantly reduce the concentration of antimicrobials before
251 disposal into the environment.

252

253 Action has already been initiated by some Member States, however, most of the Critically
254 Important Antimicrobials are being manufactured in countries where legislation on
255 environmental protection is still in its infancy and its enforcement is considered to be a
256 challenge.

257

Example box

In the European Union (EU), there are legislative measures in place to control industrial pollution and to prevent the contamination of the water environment by listed priority substances (13). However, new measures are soon to be proposed through the new One Health action plan (14) to specifically focus on limiting antimicrobial discharges from the pharmaceutical manufacturing process in the EU.

258

259 There is an urgent call for cross-cutting pluri-sectorial action with a strong coordination and
260 action plan coming from Ministers of Health.

261

262 Manufacturers of antimicrobials, with a special focus on API manufacturers, should implement
263 waste stream analyses, waste management and wastewater treatment at source. Some
264 manufacturers have launched voluntary initiatives in this regard. In 2016, a number of
265 pharmaceutical companies presented a roadmap on combating AMR in the run-up to the United
266 Nations General Assembly (UNGA) High-level Meeting on AMR. It committed to a list of
267 actions, including taking action on their own manufacturing and supply chains for managing
268 antibiotic discharge and the establishment of science-based, risk-driven targets in order to
269 establish good practices reducing the environmental impact of manufacturing discharge by
270 2020 (15).

271

272 The contributions of GMP inspectors/inspectories, procurers, NGO's/Non-state actors are
273 also of particular importance.

274

275 **4. REVIEW OF ENVIRONMENTAL ASPECTS OF GMP**

276

277 GMP are, a priori, intended to control the manufacture of the medicines and in principle do not
278 focus on the environmental aspects of these. However, GMP include many aspects related to
279 the protection of the environment and workers. If fully implemented, GMP should therefore
280 prevent waste of all sorts appearing in the environment.

281

282 Given that the lack of control in the downstream processes of manufacturing medicines will
283 ultimately lead to their loss in efficacy, we may no longer focus only on the aspects of GMP
284 that are directly linked to the quality of medicines. Medicines that are no longer effective lose
285 their value and it is therefore crucial for manufacturers and all stakeholders to take action in
286 order to protect the efficacy of those medicines. No major new class of antibiotics have been
287 discovered since 1987 and too few antibacterial agents are in development to meet the
288 challenge of multidrug resistance (16).

289

290 The WHO GMP main principles for pharmaceutical products text (17) and WHO GMP for
291 APIs (18) contain a limited set of clauses related to environmental issues. Waste and
292 wastewater management is addressed only briefly.

293

294 On the other hand, the *WHO good manufacturing practices for pharmaceutical products*
295 *containing hazardous substances (Annex 3, TRS957, 2010)* (7) contains more detailed
296 requirements regarding waste and wastewater management which can be applied to the
297 production of antimicrobials (see Appendix 1 for relevant clauses). These guidelines cover
298 those hazardous substances traditionally belonging to reproductive health hormones and highly
299 potent or sensitizing medicines such as steroids, cephalosporins and beta-lactam antibiotics.
300 According to these guidelines, a hazardous substance or product is a *product or substance that*
301 *may present a substantial risk of injury, to health or to the environment.* As antimicrobials,
302 when released into the environment through their action on microorganisms, are deemed to
303 present a substantial risk of injury to both health and the environment, they should be
304 considered for inclusion in the scope of this guidance.

305
306 The guidelines require risk assessments to determine the potential hazards to the operators and
307 to the environment of hazardous substances contained in all types of waste. Such risk
308 assessments should therefore be performed by manufacturers as required, in principle, for any
309 substance deemed to be hazardous.

310
311 The guidance currently requires that the external atmosphere and the public near the facility
312 should be protected from harm from hazardous substances.

313
314 The guidance already requires neither the product or its residues of hazardous products handled
315 in a facility should be allowed to be discharged directly to normal drainage systems.

316
317 The guidance states that if liquid effluent poses a safety or contamination risk, the effluent
318 should be treated before being discharged to a municipal drain. However, manufacturers seem
319 not to have noted this, that the municipal drain may not be suitable to handle the large quantities
320 of hazardous effluents such as those that are released by large pharmaceutical companies.

321
322 The guidance also contains the general statement that liquid and solid waste effluent should be
323 handled in such a manner as not to present a risk of contamination to the personnel or to the
324 environment.

325 It also states that all effluents should be disposed in a safe manner and that the means of disposal
326 should be documented. Where external contractors are used for effluent disposal, they should
327 have certification authorizing them to handle and treat hazardous products.

328

329 The guidance currently requires that the external atmosphere and the public near the facility
330 should be protected from harm from hazardous substances.

331

332 The documents that the manufacturing facilities should possess are not explicit in this guidance.
333 Manufacturers, however, would be expected to retain documentation on the following:

334

335 • Waste stream analysis for each antimicrobial agent produced, updated whenever there
336 is a change in production affecting waste streams.

337

338 • The quantity and nature of the waste generated, including documentation of analysis
339 performed and their findings on the hazardous substances it contains.

340

341 • Monthly reports on its collection, treatment and disposal.

342

343 • Information on the methods used to treat the waste – they should be documented to be
344 effective for each specific hazardous substance contaminant. Analytical data
345 demonstrating the conversion of hazardous substances and their residues to non-
346 hazardous waste materials should be available at the facility and kept up to date.

347

348 • If effective waste treatment is not yet implemented for all waste streams concerning
349 each API or FPP, documentation on a time-limited strategy should be in place with
350 specified milestones for that implementation.

351

352 This documentation should be maintained at the facility regardless of whether or not an external
353 contractor has been used.

354

355

356

357 **5. PROPOSALS FOR STAKEHOLDERS**

358

359 **5.1. Manufacturers of medicines**

360

361 FPP and API manufacturers should thoroughly examine their waste and waste management
362 processes to ensure that antimicrobial residues are treated in a safe and effective manner. The
363 industry could take a role in developing standards for pharmaceutical waste containing
364 antimicrobials.

365

366 **5.2. National GMP inspectorates from all Member States**

367

368 The following actions are proposed:

369

- 370 • Implementation of WHO guidelines on hazardous substances or equivalent GMP
371 guidelines to the production of antimicrobials.
- 372
- 373 • Train inspectors on inspection of waste and wastewater management processes and
374 instruct inspectors to include inspection of those aspects for all sites who are
375 manufacturing Critically Important Antimicrobials during routine GMP inspections.
- 376
- 377 • Increase the level of communication between the GMP inspectorates and their local
378 national regulatory bodies who are responsible for enforcing environmental protection
379 standards.

380

381 **5.3. Regulatory bodies responsible for enforcing environmental protection from
382 pharmaceutical waste**

383

384 In all Member States, consideration should be given to developing national action plans for
385 AMR and for strengthening the legislation for waste and wastewater management and its
386 enforcement.

387 Inspectors within the relevant departments (e.g. Ministries of Health, Ministries of
388 Environment or Pollution Control Boards) of waste/wastewater treatment plants should be
389 trained on aspects relating to decontamination of antimicrobials.

390

391 Programs for sampling and testing of wastewater and effluents should be implemented to
392 monitor compliance with local/international regulation and with the effectiveness of the
393 decontamination and mitigation strategies.

394

395 **5.4. Procurers of antimicrobial medicines**

396

397 Procurement agencies who purchase antimicrobials, particularly Critically Important
398 Antimicrobials, are encouraged to purchase those medicines from companies who have
399 sustainable and environmentally respectful production processes.

400

401 **6. PROPOSAL FOR WHO'S OPTIONS AND TOOLS USING WHO GMP**

402

403 While focusing on how WHO can more comprehensively address environmental aspects for
404 the prevention of AMR and the potential contributing role of inspectors to tackling this issue,
405 WHO has the following options and tools to reduce and mitigate the uncontrolled disposal of
406 waste and wastewater containing antimicrobials when manufacturing medical products.

407

408 **6.1 Pilot process in the WHO PQT Inspectorate**

409

410 A pilot process will be initiated by 2020 by WHO's Prequalification Team Inspectorate (19) to
411 include verification of adequate measures to prevent environmental contamination with
412 antimicrobials. It will focus on Critically Important Antimicrobials manufactured at both APIs
413 and FPPs' medicines manufacturing facilities. The *WHO good manufacturing practices for*
414 *pharmaceutical products containing hazardous substances (Annex 3, TRS957, 2010)* will
415 continue to be enforced during inspections with an increased level of scrutiny for Critically
416 Important Antimicrobials. Deficiencies should be noted in case of non-compliances.

417

418 Adequate corrective and preventive actions will be verified for those deficiencies and will be
419 a condition for making a conclusion on the level of GMP compliance of manufacturing sites.

420

421 After each successful facility inspection close-out, a WHO Public Inspection Report is
422 published (20). To provide greater transparency and to enable the verification of adequate
423 compliance with requirements by stakeholders, consideration should be given to including a
424 section in the WHO Public Inspection Reports on waste and wastewater management. This
425 would provide a means for procurers to make informed decisions, taking into consideration the
426 environmental impact of the medicines they purchase.

427

428 Approximately one year after its launch, the effectiveness of this pilot process will be
429 monitored to decide whether or not it should be modified, strengthened or expanded.

430

431 **6.2 Proposal to update GMP guidelines**

432

433 The following modifications to the guidelines *WHO good manufacturing practices for*
434 *pharmaceutical products containing hazardous substances (Annex 3, TRS957, 2010)* should be
435 considered:

436

437 • To enable a thorough and effective verification of compliance and to avoid the use of
438 external contractors as a loophole, manufacturing facilities should be specifically
439 required to possess adequate documentation. This should include:

440

441 ○ documentation of waste stream analysis for each API or FPP;

442

443 ○ the quantity and nature of the waste generated, including analytical information
444 on the hazardous substances it contains;

445

446 ○ monthly reports on its collection, treatment and disposal;

447

448 ○ for facilities with already implemented waste treatment, information on the
449 methods used to treat the waste – they should be documented to be effective for

450 each specific hazardous substance contaminant. Analytical data demonstrating
451 the conversion of hazardous substances and their residues to non-hazardous
452 waste materials should be available at the facility and kept up to date; and

453

454 ○ for facilities without waste treatment of all waste streams, a time limited strategy
455 should be in place, specifying actions towards achieving treatment that
456 significantly reduces the concentration of the API (and its microbial source,
457 when relevant) or FPP.

458

459 This documentation should be maintained at the facility regardless of whether or not
460 the facility treats its own waste or discharges it to an external contractor or third party
461 waste water treatment plant, with or without pretreatment (e.g. pH adjustment,
462 chelation, precipitation, etc.).

463

464 ● The guidance currently requires that the external atmosphere and the public in the
465 vicinity of the facility should be protected from harm from hazardous substances. In
466 the proposed revision, the inclusion of effluents and water streams should be considered
467 in this section because the literature contains several reports of effluents close to
468 facilities being contaminated with dangerous levels of antimicrobials.

469

470 ● Including guidance on acceptable methods of decontamination of manufacturing waste
471 containing antimicrobials and on mitigation strategies. Many decontamination methods
472 already exist that reduce or remove antibiotics (and microbes that have produced
473 fermentative antimicrobials) from waste streams entering the environment from
474 antimicrobial manufacturing: secondary and tertiary waste water treatment; membrane
475 filtration and ozonation; and UV disinfection and heat treatment, which are even more
476 effective at removing viable bacteria (1, 11). Incineration may also be considered for
477 solid or semi-liquid waste. The level of effectiveness and by-products should be
478 considered when adopting a particular approach.

479

480

481

482 **6.3 Creation of a network/forum coordinated by WHO**

483

484 Currently, there are no established mechanisms to share information, know-how, mechanisms
485 or instruments to report an eventual breach of national/international laws on waste discharge.
486 Establishing a multi-disciplinary network of experts and regulators would be key to improving
487 the sharing of information between inspectorates and the relevant departments of Member
488 States so that appropriate action is taken in a timely manner in the event of any breaches.
489 Procedures should be established for communication between WHO, GMP inspectorates and
490 all relevant regulators from Member States, independent technical experts and research groups
491 on AMR.

492

493 **6.4 Awareness campaign among Member States**

494

495 WHO has launched awareness campaigns on antimicrobial resistance in several regions.
496 However, there have not yet been any campaigns specifically targeted at the production of
497 antimicrobials and towards waste and wastewater management. This should be considered for
498 inclusion in future campaigns. GMP inspectorates, regulatory bodies that are responsible for
499 enforcing environmental protection standards and waste/wastewater management such as
500 Ministries of Health, Ministries of Environment or Pollution Control Boards and Ministries of
501 Agriculture should also be targeted by those campaigns.

502

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583 **ACRONYMS**

- 584
- 585 AMR: Antimicrobial resistance
- 586 API: Active pharmaceutical ingredient
- 587 ARB: Antibiotic resistant bacteria
- 588 ARG: Antimicrobial resistance gene
- 589 FPP: Finished pharmaceutical product
- 590 GMP: Good manufacturing practices
- 591 UNGA: United Nations General Assembly
- 592
- 593
-
- 594

595 **Appendix 1**

596

597 **Relevant sections of WHO guidelines and proposals for modification**

598

599 **A. ANNEX 3, TRS 957, 2010. GUIDANCE ON WHO GOOD MANUFACTURING**
600 **PRACTICES FOR PHARMACEUTICAL PRODUCTS CONTAINING**
601 **HAZARDOUS SUBSTANCES**

602

603 2.1 Facilities should be designed and operated in accordance with the main GMP principles,
604 as follows: — to ensure quality of product; — to protect the operators from possible
605 harmful effects of products containing hazardous substances; and — to protect the
606 environment from contamination and thereby protect the public from possible harmful
607 effects of products containing hazardous substances.

608

609 4.1 Not all products containing hazardous substances are equally potent and risk
610 assessments should be carried out to determine the potential hazards to operators and to
611 the environment. The risk assessment should also determine which phases of the product
612 production and control cycles, from manufacture of the API to distribution of the
613 finished product, would fall under the requirements of these guidelines. Risk
614 assessments applicable to the environment should include airborne contamination as
615 well as liquid effluent contamination.

616

617 4.2 Assuming that the risk assessment determines that the products or materials being
618 handled pose a risk to the operators and/or the public and/or the environment, the
619 guidelines to be followed for the design and operation of the facility should be as detailed
620 in this document.

621

622 7. **Environmental protection**

623

624 7.1 Due to the hazardous nature of the products being handled in the facility, neither the
625 product nor its residues should be allowed to escape into the atmosphere or to be
626 discharged directly to normal drainage systems.

627 7.2 The external atmosphere and the public in the vicinity of the facility should be protected
628 from possible harm from hazardous substances.

629 *(Note from Secretariat: effluents or water streams should also be considered.)*

630

631 7.3 If liquid effluent poses a safety or contamination risk, the effluent should be treated
632 before being discharged to a municipal drain.

633 *(Note from Secretariat: the municipal drain may not be suitable to handle large
634 quantities of hazardous effluents and therefore manufacturers are requested to consider
635 this in their approach.)*

636

637 **13. Effluent treatment**

638

639 13.1 Liquid and solid waste effluent should be handled in such a manner so as not to present
640 a risk of contamination to the product, personnel or to the environment.

641

642 13.2 All effluent should be disposed of in a safe manner and the means of disposal should be
643 documented. Where external contractors are used for effluent disposal, they should have
644 certification authorizing them to handle and treat hazardous products.

645 *(Note from Secretariat: manufacturers should possess adequate and detailed
646 documentation on those aspects.)*

647

648 **B. ANNEX 2, TRS957, 2010. WHO GOOD MANUFACTURING PRACTICES FOR
649 ACTIVE PHARMACEUTICAL INGREDIENTS**

650

651 **4.6 Sewage and refuse**

652

653 Sewage, refuse and other waste (e.g. solids, liquids or gaseous by-products from
654 manufacturing) in and from buildings and the immediate surrounding area should be
655 disposed of in a safe, timely and sanitary manner. Containers and/or pipes for waste
656 material should be clearly identified.

657

658

659 **C. ANNEX 2, TRS986, 2014. WHO GOOD MANUFACTURING PRACTICES FOR**
660 **PHARMACEUTICAL PRODUCTS: MAIN PRINCIPLES**

661

662 **14.4 Waste materials**

663

664 14.44 Provisions should be made for the proper and safe storage of waste materials
665 awaiting disposal. Toxic substances and flammable materials should be stored
666 in suitably designed, separate, enclosed cupboards, as required by national
667 legislation.

668

669 14.45 Waste material should not be allowed to accumulate. It should be collected in
670 suitable receptacles for removal to collection points outside the buildings and
671 disposed of safely and in a sanitary manner at regular and frequent intervals.

672